From-Genentech Legal

T-342 P.001/006 F-355



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UNITED STATES DEPARTMENT OF COMMERCE Parent and Trademark Office ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

MAY 02, 1996

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02-28-03

PTAS

GENENTECH, INC. WENDY M. LEE 460 POINT SAN BRUNO BOULEVARD SOUTH SAN FRANCISCO, CALIFORNIA 94080

# UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, NORTH TOWER BUILDING, SUITE 10C35, WASHINGTON, D.C. 20231.

RECORDATION DATE: 06/29/1995

REEL/FRAME: 7796/0660 NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

RINDERKNECHT, ERNST H.

DOC DATE: 06/19/1995

ASSIGNOR:

ZAPATA, GERARDO A.

DOC DATE: 06/20/1995

ASSIGNEE:

GENENTECH, INC.

460 POINT SAN BRUNO BOULEVARD SOUTH SAN FRANCISCO, CALIFORNIA

94080-4990

SERIAL NUMBER: 08425763

PATENT NUMBER:

FILING DATE: 04/20/1995

ISSUE DATE:

RECEIVED

MAY - 8 1996

GENENTECH, INC. LEGAL DEPT

TONYA LEE, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

FROM: +16509529881

PNI

02-28-03 12:14 TO:LEE **DI2/55** 

ID=240 825 5751

05-58-03 13:30 FEE

Additional name(s) of conveying party(ies) attached? Yes X No

Patent and Trademark Office

Any Dkt No: 190941



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riginal documents or copy thereof.

2. Name and address of receiving party(ies):

Name: GENENTECH, INC.

Internal Address:

Street Address: 460 Point San Bruno Boulevard

City: South San Francisco State: California

ZIP: 94080-4990

Additional came(s) & address(es) attached? Yes X No

1. Name of conveying party(ies):

Ernst H. Rinderknecht Gerardo A. Zapata

3. Nature of conveyance:

X Assignment

Merger

Security Agreement

Change of Name

Other:

Execution Date: 6/19/95 and 6/20/95

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(5)

B. Patent No.(5)

08/425,763

Additional numbers attached? Yes X No

5. "Name and address of party to whom correspondence concerning document should be mailed:

Wendy M. Lee GENENTECH, INC. 460 Point San Bruno Boulevard South San Francisco, CA 94080

- Total number of applications and patents involved: 1
- 7. Total fee (37 CFR 3.41): \$ 40.00
  - X Authorized to be charged to deposit account
- 8. Deposit account number: 07-0630

(Attach duplicate copy of this page if paying by deposit account)

TL60642 07/21/95 08425763 DO NOT USE THIS SPACE 07-0630 060 581

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Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document,

Wendy M. Lue Name of Person Signing Registration No. \*

June 26, 1995 Date

\* A copy of a document pursuant to 37 C.F.R. § 10.9(b) is anached as proof of the authorization of the above to prosecute the above-mentioned application. he original of this document is on file in the Office of Enrollment and Discipline.

Total number of pages comprising cover sheet, attachments and document: 6

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks

Box Assignments Washington, D.C. 20231

FROM: +16509529881

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12:06pm From-Genentech Lagal

02-28-03

Docket No. P0941

### ASSIGNMENT

WHEREAS, Ernst H. Rinderknecht, a citizen of U.S.A., residing at 812 Bauer Drive, San Carlos, California 94070, and Gerardo A. Zapata, a citizen of U.S.A., residing at 785 Widgeon Street, Foster City, California 94404 (hereinafter "ASSIGNORS"), have invented a new and useful invention in

#### ANTIBODY PURIFICATION

for which an application Serial No. 08/425,763 (Docket No. P0941) for Letters Patent has been filed by them on 20 April 1995; and

WHEREAS, GENENTECH, INC., a corporation organized and existing under and by virtue of the laws of the State of Delaware, having a place of business at 460 Point San Bruno Boulevard, South San Francisco, California 94080, is desirous of acquiring an interest in and to said invention, and in and to the Letters Patents to be obtained therefor;

NOW, THEREFORE, be it known by all whom it may concern;

That for good and valuable consideration the receipt of which is hereby acknowledged, the said ASSIGNORS have and do hereby sell, assign, transfer and set over unto the said GENENTECH, INC., its successors and assigns, the full and exclusive right, title and interest including all rights under the Paris Convention for the Protection of Industrial Property, in and to said invention, and in and to any and all Letters Patents to be granted and issued therefor, not only for, to, and in the United States of America, its territories and possessions, but for, to and in all other countries; and it has been and is hereby authorized and requested that the appropriate government agencies issue said Letters Patents to said GENENTECH, INC., in accordance with this Assignment.

Said ASSIGNORS covenant and agree to cooperate with GENENTECH, INC., to enable said GENENTECH, INC. to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and foreign countries. Such cooperation by said ASSIGNORS includes prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said GENENTECH, INC., (a) for perfecting the right, title and interest herein conveyed (b) los prosecuting any of said applications; (c) for filling and prosecuting applications for reissuance of any of said patents; (d) for interference or other priority proceedings involving said invention; and (e) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said ASSIGNORS in providing such cooperation shall be paid for by said GENENTECH, INC.

The terms and covenants of this assignment shall inure to the benefit of said GENENTECH, INC., its successors, assigns and other legal representatives, and shall be binding upon said ASSIGNORS, their respective heirs, legal representatives and assigns.

Said ASSIGNORS hereby warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

POB

# Received from < 540 825 5751 > at 2/28/03 3:41:16 PM [Eastern Standard Time]

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T-342 P.004/006 F-355

02-28-03

12:06pm From-Genentech Legal

Page 2

IN WITNESS WHEREOF we undersign as follows;

South San Francisco

Dated: 6/20/41

South San Francisco

Dated: \_\_\_\_\_

Ernst H. Rinderknecht

Gerardo A. Zapata

02-28-03 12:06pm From-Genentech Legal | Good | Total | Fermina | Fermina

Page 2

IN WITNESS WHEREOF we undersign as follows;

South San Francisco	Ernst H. Rinderknecht
Dated:	
South San Francisco	Gerardo A. Zapata
Dated: Truck 19, 1945	

02-28-03 12:15 TO:LEE

P19/22 ID=240 852 2121 FROM: +16509529881

05-58-03 13:35 FEE

# UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE

## LIMITED RECOGNITION UNDER 37 CFR § 10.9(b)

Wendy M. Lee is hereby given limited recognition under 37 CFR § 10.9(b) as an employee of Genentech, Inc. to prepare and prosecute patent applications and to represent patent applicants wherein Genentech, Inc. is the assigneed of record of the entire interest. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) Wendy M. Lee ceases to lawfully reside in the United States, (ii) Wendy M. Lee's employment with Genentech, Inc. ceases or is terminated, or (iii) if Wendy M. Lee ceases to remain or reside in the United States on a H-1 visa.

This document constitutes proof of such recognition. The original of this document is on file in the Office of Enrollment and Discipline of the U.S. Patent and Trademark Office,

EXPIRES: DECEMBER 9, 1995

Cameron Weittenbach, Director Office of Enrollment and Discipline